

No.: 16104

Client No.:

Re No.:

**OGILVY  
RENAULT**

**Facsimile**

**Confidentiality Message**

This communication sent by facsimile is confidential, may be privileged and is intended for the exclusive use of the addressee. Any other person is strictly prohibited from disclosing, distributing or reproducing it. If the addressee cannot be reached or is unknown to you, please destroy this message and all copies. Thank you.

Number of pages including this cover sheet: 27

Date: May 24, 2006

From: Paul Field

Telephone: (416) 216-3903

To	Company - City	Phone	Fax
Examiner Jocelyn Grumel	USPTO	571 272 3734	571 273 3734

**Message**

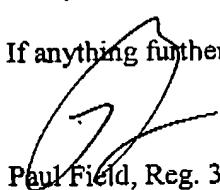
Dear Ms. Grumel,

**Re: U.S. Patent Application No. 09/907,812**  
**Title: Computer-Based System and Method for Monitoring....**  
**Inventor: Ronald F. Shulman**  
**Our ref: 15800-1US**

Attached are copies of the documents you have requested:

- 1) 07/18/01-Information Disclosure Statement filed July 18, 2001 by Bereskin & Parr
- 2) 05/16/02-IDS filed May 16, 2002 by Ogilvy Renault
- 3) 07/22/03-Supplemental IDS filed July 22, 2003 by Ogilvy Renault

If anything further is required, please advise.

  
 Paul Field, Reg. 34,963

Tel 416 216 3903

Our Reference No. 11629-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
SHULMAN, RONALD F. )  
Serial No. not yet assigned ) Art Unit: n/a  
Filed: n/a ) Examiner: n/a  
For: COMPUTER-BASED SYSTEM AND )  
METHOD FOR MONITORING THE )  
PROFITABILITY OF A )  
MANUFACTURING PLANT )

The Commissioner of Patents  
& Trademarks  
Washington, D.C. 20231  
U.S.A.

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicant hereby submits an Information Disclosure Statement on Form PTO-1449 containing a listing of patents and other publications of which Applicant is aware. Applicant is also submitting the references listed on the Information Disclosure Statement.

All of the patents and publications submitted herewith are in the English language. Accordingly a concise explanation of the relevance of the documents is not required.

The Examiner is requested to indicate consideration of these documents by initialling the appropriate column.

Applicants reserve the right to contest the applicability of any of these documents as prior art against the subject application. If the Examiner has any questions concerning this Information Disclosure Statement, he/she is requested to contact the undersigned. Entry of the enclosed Information Disclosure Statement is believed to be in order and is respectfully requested.

This Information Disclosure Statement is being filed before the issuance of a first official action, and therefore no fees are required. However, please charge our deposit account No. 02-2095 if such a fee is required.

Respectfully submitted,

**Ronald F. Shulman**

---

Timothy J. Sinnott  
Registration No. 31,083

Dated: July 18, 2001

Bereskin & Parr  
Box 401, 40 King Street West  
Toronto, Ontario, Canada  
M5H 3Y2

(416) 364-7311

Please type a plus sign (+) inside this box →

PTO/SB/DBA (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

I understand that under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1448A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	not yet assigned
Filing Date	n/a
First Named Inventor	<b>SHULMAN, Ronald F.</b>
Group Art Unit	n/a
Examiner Name	n/a
Attorney Docket Number	<b>11629-1</b>

Attorney Docket Number 11629-1

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

**Date Considered**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

I understand the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO		<i>Complete If Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	not yet assigned
		Filing Date	n/a
		First Named Inventor	SHULMAN, Ronald F.
		Group Art Unit	n/a
		Examiner Name	n/a
(use as many sheets as necessary)		Attorney Docket Number	
Sheet	2	of	2
11629-1			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**